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| (use as many sheets                        | as necessary)                 | Examiner Name   |       |
| Set 1 of                                   | 3                             | Attorney Docket Number  |       |

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| WH                    | <u> </u>                 | "Copy of Third Party Observations filed in the U.K. Patent Office during pendency of DeFelsko's U.K. application.   |    |
| WH                    | ا<br>2.                  | "Copy of Affidavit of Peter Baldwin dated January 19, 1998 submitted concurrently with the Third Party Observations, referring to prior art documents attached thereto as Exhibits "A" through "O."   |    |
| WH                    | 3.                       | "Elcometer 300 Information Sheet." as Exhibit "A" from Affidavit of Peter Baldwin.  |    |
| WH                    | 4.                       | "Elcometer 300 Operating Instructions" as Exhibit "B" from Affidavit of Peter Baldwin.  | L  |
| WH                    | J<br>5.                  | "Elcometer 300 Specification Sheet" as Exhibit "C" from Affidavit of Peter Baldwin.   | L  |
| WH                    | 6.<br>-                  | / "Elcometer 355 Information Sheet" as Exhibit "D" from Affidavit of Peter Baldwin.   |    |
| 10/t                  | 7.                       | "Elcometer 355 Instruction Booklet" as Exhibit "E" from Affidavit of Peter Baldwin.   |    |
| WH-                   | 8.                       | "Printout from an Elcometer 355 Coating Thickness Gauge" as Exhibit "F" from Affidavit of Peter Baldwin.  |    |
| WH                    | 9.                       | "Printout from an Elcometer 355 Coating Thickness Gauge in Histogram Format" as Exhibit "G" from Affidavit of Peter Baldwin.  |    |
| VXH                   | 10.                      | "Copy of Invoice No. 029902 issued by Elcometer Instruments Limited" as Exhibit "H" from Affidavit of Peter Baldwin.  |    |
| WH                    | 11.                      | "Elcometer 365 Information Sheet" as Exhibit "I" from Affidavit of Peter Baldwin.   |    |

| Examiner<br>Signature | HIEN VO | Date<br>Considered | 11/12/61 |
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Filing Date First Named Inventor **Group Art Unit** 

**Application Number** 

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Examiner Name Attorney Docket Number

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| y.H                  | 12.             | "Elcometer 1994 World Sales Conference Program" as Exhibit "J" from Affidavit of Peter Baldwin.   |    |
| VXX+                 | 13.             | "Elcometer 365 Information Sheet" as Exhibit "K" from Affidavit of Peter Baldwin.   |    |
| YXH-                 | <i>J</i><br>14. | "Elcometer Lead Sheet" as Exhibit "L" from Affidavit of Peter Baldwin.  |    |
| WH                   | 15,             | "Dataputer Specification Sheet" as Exhibit "M" from Affidavit of Peter Baldwin.   |    |
| WH                   | 16.             | "Copy of Invoice No. 016849 issued by Elcometer Instruments Limited," dated July 14, 1993 as Exhibit "N" from Affidavit of Peter Baldwin.   |    |
| WH                   | 17.             | "Fischerscope MMS Information Sheet" as Exhibit "O" from Affidavit of Peter Baldwin.  |    |
| VXH-                 | 18.             | "Copy of comments made by Examiner in response to Third Party Observations submitted in DeFelsko's UK application.  |    |
| VXH                  | 19.             | Copy of Initialed PTO Form 1449 dated December 16, 1998 from File History of U.S. Patent No. 5,930,744 listing selected documents cited in the Third Party Submissions and referred to in the Affidavit of Peter Baldwin dated January 19, 1998.                |    |
| vxH                  |                 | Copy of Correspondence from Wilson, Gunn, M'Caw to DeFelsko's U.S. attorneys, dated May 14, 1999.   |    |
|                      |                 |   |    |
| WH                   | 21.             | Copy of Correspondence from Wilson, Gunn, M'Caw to DeFelsko's U.S. attorneys, dated May 20, 1999, and two (2) enclosures.   |    |
| VX.H                 | 22.             | "Elcometer 500 literature" (dated June 1986), as part of Enclosure 1 from correspondence from Wilson, Gunn, M'Caw dated May 20, 1999.   | :  |

| Examiner Signature HIEN VO Date Considered 11/12/02 |
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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|  | Group Art Unit       |  |
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Attorney Docket Number

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| S                    |              | OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS   |    |
| Examiner<br>Initials | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
| WH                   | 23.          | "Elcometer 500 Instruction Manual" as part of Enclosure 1 from correspondence from Wilson, Gunn, M'Caw dated May 20, 1999.  |    |
| Vala                 | 24.          | "Instruction Sheets relating to the use of coating thickness measuring probes with the Elcometer 500" (dated July 3, 1987) as part of Enclosure 1 from correspondence from Wilson, Gunn, M'Caw dated May 20, 1999.  |    |
| VXA                  | 25.          |   |    |
| vxlt                 | 26.          | Chapter 1-6 of the SPC FocusPlus Users Manual produced by VERAX Systems, Inc." as part of Enclosure 2 from correspondence from Wilson, Gunn, M'Caw dated May 20, 1999.  |    |
| UXIT                 | 27.          | "Extracts from an Addendum to the VERAX SPC FocusPlus Users Manual as part of Enclosure 2 from correspondence from Wilson, Gunn, M'Caw dated May 20, 1999.  |    |
| WIT                  | 28.          | Copy of Unsigned PTO Form 1449 from File History of U.S. Patent No. 5,930,744 listing enclosures from Wilson, Gunn, M'Caw letter of May 20, 1999.   |    |
| UXH                  | 29.          | Copy of Instruction Manual for an ETG instrument, available in the U.S. since 1991.   |    |
| UXIT                 | 30.          | Copy of representative MG Rover data recordal sheet.  |    |
| VXH                  | 31.          | Copy of New Affidavit of Peter Baldwin dated August 1, 2001 and referring to prior art documents attached thereto as Exhibits "A" through "O."  |    |
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|  | Examiner<br>Signature | HIEN VO | Date<br>Considered | 11/82/02 |
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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